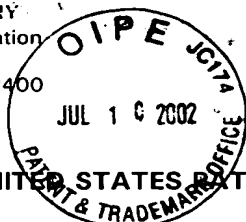


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Fort Collins, Colorado 80527-2400



2184
PATENT APPLICATION

ATTORNEY DOCKET NO. 10013342-1 #3

TO
7/18/02

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Michael L. BESSIRE

Application No.: 09/989,652

Filing Date: 11/21/01

Title: A SYSTEM AND METHOD FOR ENSURING THE AVAILABILITY OF A STORAGE SYSTEM

Confirmation No.:

Examiner: Unassigned

Group Art Unit:

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

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Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

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I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By _____

Typed Name:

Respectfully submitted,

Michael L. BESSIRE

By 

William T. Ellis

Attorney/Agent for Applicant(s)

Reg. No. 26,874

Date: July 10, 2002

JUL 10 2002

PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO
**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**

Date Submitted: July 10, 2002

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number 09/989,652
 Filing Dat 11/21/2001
 First Named Inventor Michael L. BESSIRE
 Group Art Unit Unassigned
 Examiner Name Unassigned
 Attorney Docket Number 084061-0186

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	5,537,534		Voigt et al.	07/16/1996	
	A2	5,542,065		Burkes et al.	07/30/1996	
	A3	5,546,558		Jacobson et al.	08/13/1996	
	A4	5,548,712		Larson et al.	08/20/1996	
	A5	5,574,863		Nelson et al.	11/12/1996	
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	A13	6,055,604		Voigt et al.	04/25/2000	
	A14	6,092,168		Voigt	07/18/2000	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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	A15	Internet Article "The 7 Layers of the OSI Model," Webopedia: Online Computer Dictionary for Internet Terms and Technology, 3 pgs., Copyright 2001 internet.com Corp., http://webopedia.internet.com/quick_ref/OSI_Layers.html , October 5, 2001.	
	A16	Internet Article "MAC Address," Webopedia: The #1 online encyclopedia dedicated to computer technology, 2 pgs., http://webopedia.internet.com/TERM/M/MAC_address.html , October 5, 2001	
	A17	FAIRHURST, Internet Article "Address Resolution Protocol (arp)," January 1, 2001, 3 pgs., http://www.erg.abdn.ac.uk/users/gorry/course/inet-pages/arp.html , October 9, 2001.	
	A18	Internet Article "Inter-process Communication," December 14, 1995, 1 pg., Source: The Free On-line Dictionary of Computing, © 1993-2001 Denis Howe, http://www.dictionary.com/cgi-bin/dict.pl?term=Inter-process%20Co , October 5, 2001.	

Examiner Signature

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/989,652
Date Submitted: July 10, 2002		Filing Date	11/21/2001
(use as many sheets as necessary)		First Named Inventor	Michael L. BESSIRE
Sheet 2 of 2		Group Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	084061-0186

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A19	Internet Article "How Scanners Work," 3 pgs., Copyright © 1998-2001 Howstuffworks, Inc., http://www.howstuffworks.com/scanner4.htm , September 25, 2001.	
	A20	RUSSELL, Internet Article "Redundant Array Of Inexpensive Disks ("RAID") For Faster, Cheaper Storage," August 23, 2000, 30 pgs., http://peripherals.about.com/library/weekly/aa082300a.htm?iam=ho , September 25, 2001.	
	A21	Internet Article, "How Small Computer System Interface ("SCSI") Works," 11 pgs, Copyright © 1998-2001 Howstuffworks, Inc., http://www.howstuffworks.com/scsi.htm?printable=1 , September 25, 2001	
	A22	Internet Article, "How It Works," 5 pgs. http://webmail.foleylaw.com/exchange/Attach/read.asp?obj=0000000046722662679FD41185 , September 27, 2001.	
	A23	STAFFORD, "The How and Why of Windows TCP/IP Stacks," 2 pgs., Copyright © 1994 Harvard Computer Society, Last modified: December 4, 1994 by web@hcs.harvard.edu , http://hcs.harvard.edu/~hcr/94dec/whystacks.html , September 12, 2001.	

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